

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 CFR §1.53(b)

Box Patent Application
ASSISTANT COMMISSIONER
OF PATENTS
Washington, D.C. 20231

Attorney Docket No.: 062891.0657

j1046 U.S. PTO
09/972406
10/05/01

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Xiaode (nmi) Xu, et al.

For: **SYSTEM AND METHOD FOR HANDOVER EXECUTION IN A
WIRELESS ENVIRONMENT**

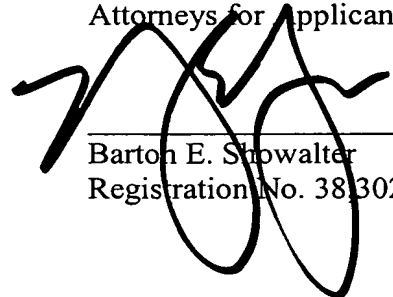
Enclosed are:

- (1) 34 pages of Specification, Claims and Abstract;
- (2) 6 pages of a fully executed Declaration and Power of Attorney;
- (4) 2 pages of Formal Drawings;
- (5) page of an executed Verified Statement Claiming Small Entity
Status under 37 C.F.R. §§ 1.9(f) and .1.27(c)—Small Business Concern;
- (6) 5 pages of an Assignment of the invention to Cisco Technology, Inc.;
- (7) 2 pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28
and § 3.31 is included with an Assignment with the filing fee of \$40.00 pursuant to 37
C.F.R. § 1.21(h);
- (8) page of an Information Disclosure Statement with PTO-1449 Form
(page with attached reference);
- (9) 1 page of a Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
Form;
- (10) pages of a Preliminary Amendment;
- (11) pages of a Bibliographic Data Sheet
- (12) page of Change of Correspondence Address;
- (13) 1 page of a Certificate of Mailing by Express Mail No.:
EL 500926328 US; and
- (14) 2 pages of this Transmittal Form with attached patent application
filing fee in the amount of \$1,172.00.

| FEE CALCULATION | | | | | FEE |
|-----------------------|--------|----|-----------------|----------|------------------------|
| | Number | | Number Extra | Rate | Basic Fee \$ 740.00 |
| Total Claims | 30 | 20 | 10 | X \$18 = | \$ 180.00 |
| Independent Claims | 6 | 3 | 3 | X \$84 = | \$ 252.00 |
| TOTAL FILING FEE = | | | | | \$1,172.00 |

Enclosed is a check in the amount of \$1,172.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. **A duplicate copy of this sheet is enclosed.**

BAKER BOTTS L.L.P.
Attorneys for Applicant



Barton E. Snowalter
Registration No. 38,302

Date: October 5, 2001

062891.0657

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Xiaode (nmi) Xu, et al.
Filing Date: October 5, 2001
Title: SYSTEM AND METHOD FOR HANDOVER
EXECUTION IN A WIRELESS ENVIRONMENT

Box: Patent Application
Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Filing Fee Transmittal Form (2 pages), Patent Application (34 pages), Formal Drawings (2 pages), check in the amount of \$1,172.00, Declaration and Power of Attorney (6 pages), Assignment Cover Sheet (2 pages), Assignment (5 pages), check in the amount of \$40.00, a Nonpublication Request Form (1 page), a Baker Botts return receipt postcard (1 postcard) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of October, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Levado Hamilton

Express Mail Receipt
No. EL 500926328 US

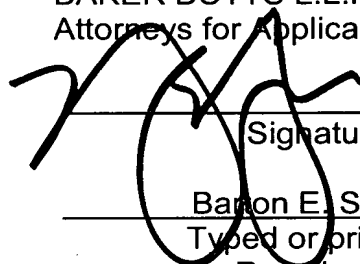
| | | |
|---|----------------------|--|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Xiaode (nmi) Xu, et al. |
| | Title | System and Method for Handover Execution in a Wireless Environment |
| | Atty Docket Number | 062891.0657 – SJ 5073 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 5, 2001
Date

BAKER BOTTS L.L.P.
Attorneys for Applicant



Signature

Barton E. Showalter

Typed or printed name
Reg No. 38,302

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.